

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

PLATINUM-BEECHWOOD LITIGATION.

Civil Action No. 18-cv-6658 (JSR)

MARTIN TROTT and CHRISTOPHER SMITH, as  
Joint Official Liquidators and Foreign  
Representatives of PLATINUM PARTNERS  
VALUE ARBITRAGE FUND L.P. (in Official  
Liquidation) and PLATINUM PARTNERS VALUE  
ARBITRAGE FUND L.P. (in Official Liquidation),

Civil Action No. 18-cv-10936 (JSR)

Plaintiffs,

- against -

PLATINUM MANAGEMENT (NY) LLC, *et al.*,

Defendants.

**PROPOSED CLERK'S CERTIFICATE OF DEFAULT**

I, RUBY J. KRAJICK, Clerk of the United States District Court for the Southern District of New York, do hereby certify that this action was commenced on November 21, 2018 with the filing of the Summons and Complaint by Plaintiffs Martin Trott and Christopher Smith, as Joint Official Liquidators and Foreign Representatives of Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation), and for Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation) (together, "Plaintiffs"), naming Beechwood Trust No. 3 (the "Beechwood Trust"), among others, as a defendant, in the action captioned *Trott, et ano. v. Platinum Management (NY) LLC, et al.*, No. 18-cv-10936 (JSR) (the "Trott Action").

After the consolidation of the Trott Action with the related actions captioned *In re Platinum-Beechwood Litigation*, 18-cv-6658 (JSR) (the "Consolidated Actions"), on March 29,

2019, Plaintiffs filed a Second Amended Complaint (Trott Action, ECF No. 285; Consolidated Action, ECF Nos. 226, 228) against, among others, the Beechwood Trust, a copy of which was personally served on the Beechwood Trust via Bryce Alves, an employee of its trustee, Brad Shalit, Esq., Connell Foley LLP, 85 Livingston Street, Roseland, New Jersey 07068, and proof of service was thereafter filed on May 16, 2019. Trott Action, ECF No. 363.

I further certify that the docket entries indicate that Defendant Beechwood Trust No. 3 has not filed an answer or otherwise moved with respect to the Second Amended Complaint. The default of Defendant Beechwood Trust No. 3 is hereby noted.

Dated: New York, New York

\_\_\_\_\_, 2022

**RUBY J. KRAJICK**  
Clerk of Court

By: \_\_\_\_\_  
Deputy Clerk