

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

IN RE PLATINUM-BEECHWOOD LITIGATION

Master Docket No. 1:18-cv-06658-JSR

MARTIN TROTT and CHRISTOPER SMITH,  
as Joint Official Liquidators and  
Foreign Representatives of  
PLATINUM PARTNERS VALUE ARBITRAGE  
FUND L.P. (in Official Liquidation) and  
PLATINUM PARTNERS VALUE ARBITRAGE  
FUND L.P. (in Official Liquidation),

Case No. 1:18-cv-10936-JSR

Plaintiffs,

-v-

PLATINUM MANAGEMENT (NY) LLC,  
et al.,

Defendants.

**REPLY DECLARATION OF DONALD H. CHASE IN FURTHER SUPPORT OF THE  
HUBERFELD FAMILY FOUNDATION, INC.'S MOTION TO DISMISS  
THE SECOND AMENDED COMPLAINT**

I, Donald H. Chase, declare as follows:

1. I am a member of Morrison Cohen LLP, counsel for defendant Huberfeld Family Foundation, Inc. (the "Foundation") in the above-captioned consolidated action. Unless otherwise specified, I have personal knowledge of the facts set forth below.

2. I submit this declaration in further support of the Foundation's motion, pursuant to Fed. R. Civ. P. 12(b)(1) and (6), to dismiss the Second Amended Complaint in the action styled *Martin Trott and Christopher Smith, as Joint Official Liquidators and Foreign Representatives of Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation) v. Platinum Management (NY) LLC, et al.*, Case No. 18-cv-10936 (JSR).

3. Attached hereto as Exhibit 1 is a true and correct copy of the so-ordered Stipulation, dated May 10, 2019, filed as Doc. No. 369 in the above-captioned action, in which Plaintiffs agreed to conditionally dismiss with prejudice their claims against certain of the Preferred Investors of the BEOF Funds related to those defendants' "alleged receipt of distributions in connection with their investment in the Black Elk Opportunity Funds." (Exhibit 1 at 2.)


4. Attached hereto as Exhibit 2 are true and correct excerpts from the Foundation's publicly-available Returns of Private Foundation Forms 990-PF for the years 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, and 2017 (each, a "Tax Return").

5. On each Tax Return, Box 1a represents the amount of contributions made to the Foundation during the year, Box 12b represents the amount of net investment income earned by the Foundation during the year, and Box 25a represents the amount of charitable donations made by the Foundation during the year. The relevant entries for Boxes 1a, 12b, and 25a reflected in each of the Tax Returns in Exhibit 2 from 2008 to 2017 are copied and summarized below:

<b>Year</b>	<b>Contributions to Foundation (Box 1a)</b>	<b>Net Investment Income (Box 12(b))</b>	<b>Charitable Donations (Box 25(a))</b>
2008	\$4,272,000	\$4,599,495	\$3,227,564
2009	\$2,600,000	\$1,087,678	\$2,871,517
2010	\$2,000,000	\$1,272,440	\$2,989,626
2011	\$1,725,000	\$5,319,355	\$2,750,486
2012	\$2,233,333	\$1,386,949	\$2,559,267
2013	\$2,400,000	\$1,646,313	\$3,109,731
2014	\$3,567,500	\$2,344,321	\$1,475,876
2015	\$1,925,025	\$1,297,036	\$2,899,770
2016	\$0	\$2,365,664	\$1,069,361
2017	\$0	\$3,014,224	\$748,050
<b>Total</b>	<b>\$20,722,858</b>	<b>\$24,333,475</b>	<b>\$23,701,248</b>

I declare under penalty of perjury that the foregoing is true and correct.

Dated: May 23, 2019



Donald H. Chase

# **EXHIBIT 1**

USDC SDNY  
DOCUMENT  
ELECTRONICALLY FILED  
DOC #:  
DATE FILED: 5/19/19

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

In re

PLATINUM-BEECHWOOD LITIGATION,

Civil Action No. 18-cv-6658 (JSR)

MARTIN TROTT and CHRISTOPHER SMITH, as Joint  
Official Liquidators and Foreign Representatives of  
PLATINUM PARTNERS VALUE ARBITRAGE FUND  
L.P. (in Official Liquidation) and PLATINUM  
PARTNERS VALUE ARBITRAGE FUND L.P. (in  
Official Liquidation),

Civil Action No. 18-cv-10936 (JSR)

Plaintiffs,

-against-

PLATINUM MANAGEMENT (NY) LLC, *et al.*,

Defendants.

STIPULATION

WHEREAS, on March 29, 2019, Plaintiffs Martin Trott and Christopher Smith, as Joint Official Liquidators and Foreign Representatives of Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation) and for Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation) (collectively, "Plaintiffs") filed a Second Amended Complaint in the above-captioned actions ("Second Amended Complaint") (Dkt. 285) which alleges in the Fifteenth Count a claim for unjust enrichment against the Preferred Investors of the BEOF Funds arising from those defendants' alleged receipt of certain distributions in connection with the alleged Black Elk Scheme (as defined in the Second Amended Complaint) (collectively, the "BEOF Unjust Enrichment Defendants");

WHEREAS, for the purposes of this Stipulation, the BEOF Unjust Enrichment Defendants do not include Defendants Platinum F.I. Group, Twosons Corporation, Huberfeld Family Foundation and Huang Lai Tsu Hsia;

WHEREAS, in the action captioned *In re Black Elk Energy Offshore Operations, LLC*, No. 15-34287, pending in the United States Bankruptcy Court for the Southern District of Texas, Richard Schmidt has been appointed the Trustee of the Black Elk Litigation Trust (the "Black Elk Trustee");

WHEREAS, the Black Elk Trustee also has commenced or may commence adversary proceedings in which he has alleged or may allege unjust enrichment, fraudulent transfer and/or other claims against the BEOF Unjust Enrichment Defendants and/or additional parties that arise out of, *inter alia*, such defendants' alleged receipt of distributions in connection with their investment in the Black Elk Opportunity Funds (the "Overlapping Claims");

WHEREAS, the Court previously dismissed the Plaintiffs' claims in this action against Estate of Jules Nordlicht, FCBA Trust, Morris Fuchs, Barbara Nordlicht, Aaron Parnes, Sarah Parnes, Shmuel Fuchs Foundation, Solomon Werdiger, Meadows Capital LLC, Leon Meyers, Rockwell Fulton Capital L.P. and Ditmas Park Capital L.P. for aiding and abetting breach of fiduciary duty (the Ninth Count) and aiding and abetting fraud (the Tenth Count);

WHEREAS, the Plaintiffs' claims in this action against David Gichtin and Ora Gichtin for aiding and abetting breach of fiduciary duty and aiding abetting fraud are similar to the

Plaintiffs' claims in this action for aiding and abetting breach of fiduciary duty and aiding and abetting fraud that were dismissed against the other BEOF Unjust Enrichment Defendants;

WHEREAS, the Plaintiffs and the Black Elk Trustee have entered into an agreement concerning the Overlapping Claims ("Agreement"), which Agreement provides, inter alia, that the Overlapping Claims against the BEOF Unjust Enrichment Defendants will be dismissed with prejudice in this action;

WHEREAS the Agreement further provides that Plaintiffs in this action will pursue claims against all defendants that are not Overlapping Claims against BEOF Unjust Enrichment Defendants, and does not impact any claims against Platinum F.I. Group, Twosons Corporation, Huberfeld Family Foundation and Huang Lai Tsu Hsia;

WHEREAS, the Agreement has been approved by the PPVA Liquidation Committee;

WHEREAS, the Plaintiffs have submitted the Agreement to the Grand Court of the Cayman Islands (the "Cayman Court") for approval;

WHEREAS, upon approval by the Cayman Court, the Agreement will result in dismissal with prejudice of a number of defendants in this action, to wit, those defendants against whom only Overlapping Claims have been asserted or remain.

**IT IS HEREBY STIPULATED AND AGREED**, by and between the attorneys for the respective parties hereto, that all discovery and briefing obligations and deadlines between the to-be-dismissed BEOF Unjust Enrichment Defendants and Plaintiffs with respect to the

Overlapping Claims are hereby tolled pending approval of the Agreement by the Cayman Court;  
and

**IT IS HEREBY FURTHER STIPULATED AND AGREED**, that upon the approval of the Agreement by the Cayman Court, the Plaintiffs shall take such actions as may be necessary to dismiss this action with prejudice as against defendants Estate of Jules Nordlicht, FCBA Trust, Morris Fuchs, Barbara Nordlicht, Aaron Parnes, Sarah Parnes, Shmuel Fuchs Foundation, Solomon Werdiger, Ora Gichtin, David Gichtin, Meadows Capital LLC, Leon Meyers, Rockwell Fulton Capital, L.P, and Dimnas Park Capital, L.P.; and



**IT IS HEREBY FURTHER STIPULATED AND AGREED** that nothing in this Stipulation will have any effect on any claims that are not Overlapping Claims that Plaintiffs in this Action may otherwise have against any of the BEOF Unjust Enrichment Defendants, all rights with respect to which are hereby preserved and reserved and all defenses with respect to which are also hereby preserved and reserved; and

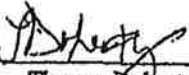
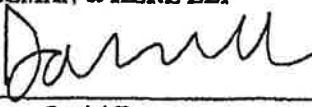
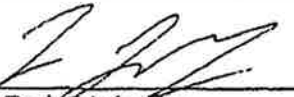
**IT IS HEREBY FURTHER STIPULATED AND AGREED**, that this Stipulation may be filed without further notice and, for the purposes of filing this Stipulation, this Stipulation may be executed in counterparts, which, when taken together, shall constitute the entire Agreement, and that signatures by facsimile and electronic mail should be considered by the Court the same as original signatures; and



IT IS HEREBY FURTHER STIPULATED AND AGREED, Plaintiffs reserve all other rights and remedies at law and equity with respect to the to-be-dismissed BEOF Unjust Enrichment Defendants, and the to-be-dismissed BEOF Unjust Enrichment Defendants reserve all rights, remedies and defenses at law and equity with respect to Plaintiffs (including dismissal of this action with prejudice), none of which rights, remedies and defenses are waived.

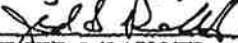
Dated: May 10, 2019  
New York, New York

<p><b>HOLLAND &amp; KNIGHT LLP</b></p> <p>By:  Warren E. Gluck Esq. Richard A. Bixter Jr., Esq. 31 West 52nd Street New York, NY 10019 Telephone: (212) 513-3200 Email: <a href="mailto:warren.gluck@hkllaw.com">warren.gluck@hkllaw.com</a> <a href="mailto:richard.bixter@hkllaw.com">richard.bixter@hkllaw.com</a></p> <p><i>Attorneys for Plaintiffs Martin Trott and Christopher Smith, as Joint Official Liquidators and Foreign Representatives of Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation), and for Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation)</i></p>	<p><b>LAW OFFICES OF KENNETH A. ZITTER</b></p> <p>By:  Kenneth A. Zitter 260 Madison Avenue New York, NY 10016 Telephone: (212) 532-8000 Email: <a href="mailto:kzitter@aol.com">kzitter@aol.com</a></p> <p><i>Attorneys for Morris Fuchs, Estate of Jules Nordlicht, Barbara Nordlicht, FCBA Trust, Aaron Parnes, Sarah Parnes, Shmuel Fuchs Foundation, Solomon Werdiger, David Gichtin and Ora Gichtin</i></p>
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<p><b>MINTZ, LEVIN, COHN, FERRIS, GLOVSKY &amp; POPEO, P.C.</b></p> <p>By:  Therese Doherty 666 Third Avenue New York, NY 10017 Telephone: (212) 935-3000 Email: <a href="mailto:tdoherty@mintz.com">tdoherty@mintz.com</a></p> <p><i>Attorneys for Meadows Capital LLC</i></p>	<p><b>WOLF HALDENSTEIN ADLER FREEMAN &amp; HERZ LLP</b></p> <p>By:  Daniel Tepper 270 Madison Avenue New York, NY 10016 Telephone: (212) 545-4600 Email: <a href="mailto:tepper@whafh.com">tepper@whafh.com</a></p> <p><i>Attorneys for Leon Meyers</i></p>
<p><b>JAKUBOWITZ &amp; CHUANG LLP</b></p> <p>By:  Tovia Jakubowitz 3019 Avenue J Brooklyn, NY 1210 Telephone: (347) 542-8529 Email: <a href="mailto:tovia@jakubowitzchuang.com">tovia@jakubowitzchuang.com</a></p> <p><i>Attorneys for Rockwell Fulton Capital, L.P, and Ditmas Park Capital, L.P.</i></p>	

Approved, provided the parties file with the Court every 3 months beginning 5/15/19 a status report on proceedings in the Cayman Court.

SO ORDERED:

  
HONORABLE JED S. RAKOFF  
UNITED STATES DISTRICT JUDGE

5/10/19

# **EXHIBIT 2**

Form **990-PF**

**Return of Private Foundation**  
 or Section 4947(a)(1) Nonexempt Charitable Trust  
 Treated as a Private Foundation

OMB No 1545-0052

**2008**

Department of the Treasury  
 Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2008, or tax year beginning

, and ending

G Check all that apply:  Initial return  Final return  Amended return  Address change  Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: **HUBERFELD FAMILY FOUNDATION INC**

Number and street (or P O box number if mail is not delivered to street address): **152 WEST 57TH STREET**

Room/suite: \_\_\_\_\_

City or town, state, and ZIP code: **NEW YORK, NY 10019**

A Employer identification number: **13-4042543**

B Telephone number: **212-571-0500**

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16): **\$ 31504312.**

J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	4272000.		N/A	
2	Check <input type="checkbox"/> If the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	38558.	38558.		STATEMENT 1
4	Dividends and interest from securities	978502.	978502.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	3582435.			
b	Gross sales price for all assets on line 6a	5408347.			
7	Capital gain net income (from Part IV, line 2)		3582435.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	8871495.	4599495.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 3	6480.	0.		0.
c	Other professional fees				
17	Interest				
18	Taxes STMT 4	37406.	0.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 5	905.	0.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	44791.	0.		0.
25	Contributions, gifts, grants paid	3227564.			3227564.
	Total expenses and disbursements. Add lines 24 and 25	3272355.	0.		3227564.
26	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	5599140.			
b	Net investment income (if negative, enter -0-)		4599495.		
c	Adjusted net income (if negative, enter -0-)			N/A	

LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

Form **990-PF** (2008)

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RECEIVED  
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IRS-00

Form **990-PF**

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545-0052

**2009**

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

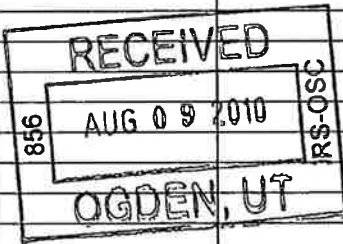
For calendar year 2009, or tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

G Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>HUBERFELD FAMILY FOUNDATION INC</b>	A Employer identification number <b>13-4042543</b>
	Number and street (or P O box number if mail is not delivered to street address) Room/suite <b>152 WEST 57TH STREET</b>	B Telephone number <b>212-571-0500</b>
	City or town, state, and ZIP code <b>NEW YORK, NY 10019</b>	C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization:	<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>34239324.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	260000.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	203572.	203572.		STATEMENT 1
4 Dividends and interest from securities	882788.	882788.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-260237.			
b Gross sales price for all assets on line 6a	817068.			
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	1318.	1318.		STATEMENT 3
12 Total. Add lines 1 through 11	3427441.	1087678.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees <b>STMT 4</b>	3465.	0.		0.
c Other professional fees				
17 Interest				
18 Taxes <b>STMT 5</b>	11788.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses <b>STMT 6</b>	26424.	0.		0.
24 Total operating and administrative expenses Add lines 13 through 23	41677.	0.		0.
25 Contributions, gifts, grants paid	2871517.			2871517.
26 Total expenses and disbursements. Add lines 24 and 25	2913194.	0.		2871517.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	514247.			
b Net investment income (if negative, enter -0-)		1087678.		
c Adjusted net income (if negative, enter -0-)			N/A	

SCANNED AUG 11 2010 Revenue



Form **990-PF**

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545-0052

**2010**

Department of the Treasury  
Internal Revenue Service

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

**For calendar year 2010, or tax year beginning 01-01-2010, and ending 12-31-2010**

**G** Check all that apply:  Initial return,  Initial return of a former public charity,  Final return,  Amended return,  Address change,  Name change

Name of foundation: HUBERFELD FAMILY FOUNDATION INC  
 Number and street (or P O box number if mail is not delivered to street address): 152 WEST 57TH STREET  
 Room/suite:   
 City or town, state, and ZIP code: NEW YORK, NY 10019  
**A Employer identification number:** 13-4042543  
**B Telephone number (see page 10 of the instructions):** (212) 571-0500

**H** Check type of organization:  Section 501(c)(3) exempt private foundation,  Section 4947(a)(1) nonexempt charitable trust,  Other taxable private foundation  
**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16): \$ 36,603,500  
**J** Accounting method:  Cash,  Accrual,  Other (specify) \_\_\_\_\_  
 (Part I, column (d) must be on cash basis.)  
**C** If exemption application is pending, check here   
**D 1.** Foreign organizations, check here   
**2.** Foreign organizations meeting the 85% test, check here and attach computation   
**E** If private foundation status was terminated under section 507(b)(1)(A), check here   
**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	2,000,000			
<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
<b>3</b> Interest on savings and temporary cash investments	122,917	122,917		
<b>4</b> Dividends and interest from securities	962,266	962,266		
<b>5a</b> Gross rents				
<b>b</b> Net rental income or (loss)				
<b>6a</b> Net gain or (loss) from sale of assets not on line 10	91,548			
<b>b</b> Gross sales price for all assets on line 6a 313,520				
<b>7</b> Capital gain net income (from Part IV, line 2)		91,548		
<b>8</b> Net short-term capital gain				
<b>9</b> Income modifications				
<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold				
<b>c</b> Gross profit or (loss) (attach schedule)				
<b>11</b> Other income (attach schedule)	95,709	95,709		
<b>12 Total.</b> Add lines 1 through 11	3,272,440	1,272,440		
<b>13</b> Compensation of officers, directors, trustees, etc	0	0		0
<b>14</b> Other employee salaries and wages				
<b>15</b> Pension plans, employee benefits				
<b>16a</b> Legal fees (attach schedule)				
<b>b</b> Accounting fees (attach schedule)	3,856	0		0
<b>c</b> Other professional fees (attach schedule)				
<b>17</b> Interest				
<b>18</b> Taxes (attach schedule) (see page 14 of the instructions)	12,724	0		0
<b>19</b> Depreciation (attach schedule) and depletion				
<b>20</b> Occupancy				
<b>21</b> Travel, conferences, and meetings				
<b>22</b> Printing and publications				
<b>23</b> Other expenses (attach schedule)	1,654	0		0
<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	18,234	0		0
<b>25</b> Contributions, gifts, grants paid	2,989,626			2,989,626
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	3,007,860	0		2,989,626
<b>27</b> Subtract line 26 from line 12				
<b>a Excess of revenue over expenses and disbursements</b>	264,580			
<b>b Net investment income</b> (if negative, enter -0-)		1,272,440		
<b>c Adjusted net income</b> (if negative, enter -0-)				

Form **990-PF**

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545-0052

**2011**

Department of the Treasury  
Internal Revenue Service

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

**For calendar year 2011, or tax year beginning 01-01-2011, and ending 12-31-2011**

**G** Check all that apply:  Initial return,  Initial return of a former public charity,  Final return,  Amended return,  Address change,  Name change

Name of foundation: HUBERFELD FAMILY FOUNDATION INC  
 Number and street (or P O box number if mail is not delivered to street address): 152 WEST 57TH STREET  
 City or town, state, and ZIP code: NEW YORK, NY 10019  
**A** Employer identification number: 13-4042543  
**B** Telephone number (see page 10 of the instructions): (212) 571-0500  
**C** If exemption application is pending, check here   
**D 1.** Foreign organizations, check here   
**2.** Foreign organizations meeting the 85% test, check here and attach computation   
**E** If private foundation status was terminated under section 507(b)(1)(A), check here   
**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here   
**H** Check type of organization:  Section 501(c)(3) exempt private foundation,  Section 4947(a)(1) nonexempt charitable trust,  Other taxable private foundation  
**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16): \$ 38,717,081  
**J** Accounting method:  Cash,  Accrual,  Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis.)

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	1,725,000			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	112,620	112,620		
	<b>4</b> Dividends and interest from securities	728,936	728,936		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	4,477,799			
	<b>b</b> Gross sales price for all assets on line 6a: 6,828,657				
	<b>7</b> Capital gain net income (from Part IV, line 2)		4,477,799		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	7,044,355	5,319,355			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	0	0		0
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	14,801	0		0
	<b>c</b> Other professional fees (attach schedule)				
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see page 14 of the instructions)	106,387	0		0
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	2,391	0		0
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	123,579	0		0
	<b>25</b> Contributions, gifts, grants paid	2,750,486			2,744,486
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	2,874,065	0		2,744,486	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	4,170,290				
<b>b Net investment income</b> (if negative, enter -0-)		5,319,355			
<b>c Adjusted net income</b> (if negative, enter -0-)					

Form **990-PF**

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545-0052

**2012**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

**For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012**

Name of foundation HUBERFELD FAMILY FOUNDATION INC		<b>A Employer identification number</b> 13-4042543
Number and street (or P O box number if mail is not delivered to street address) 152 WEST 57TH STREET	Room/suite	<b>B Telephone number (see instructions)</b> (212) 571-0500
City or town, state, and ZIP code NEW YORK, NY 10019		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$</b> 39,623,466	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	2,233,333			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	96,242	96,242		
	<b>4</b> Dividends and interest from securities	1,189,423	1,189,423		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	101,284			
	<b>b</b> Gross sales price for all assets on line 6a 300,000				
	<b>7</b> Capital gain net income (from Part IV, line 2)		101,284		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	3,620,282	1,386,949			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	0	0		0
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	24,593	0		0
	<b>c</b> Other professional fees (attach schedule)				
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	29,804	0		0
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	96,742	0		0
	<b>24 Total operating and administrative expenses.</b>				
	Add lines 13 through 23	151,139	0		0
<b>25</b> Contributions, gifts, grants paid	2,559,267			2,559,267	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	2,710,406	0		2,559,267	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	909,876				
<b>b Net investment income</b> (if negative, enter -0-)		1,386,949			
<b>c Adjusted net income</b> (if negative, enter -0-)					



Form **990-PF**

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Department of the Treasury  
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.  
Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**2013**

**Open to Public Inspection**

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

Name of foundation HUBERFELD FAMILY FOUNDATION INC		A Employer identification number 13-4042543
Number and street (or P.O. box number if mail is not delivered to street address) 152 WEST 57TH STREET	Room/suite	B Telephone number (see instructions) (212) 571-0500
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10019		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input checked="" type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 43,288,785	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,400,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	56,643	56,643		
	4 Dividends and interest from securities	1,249,885	1,249,885		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	339,785			
	b Gross sales price for all assets on line 6a	1,000,000			
	7 Capital gain net income (from Part IV, line 2)		339,785		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	4,046,313	1,646,313			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	33,579	0		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	32,939	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,648	0		0
	24 Total operating and administrative expenses. Add lines 13 through 23	68,166	0		0
	25 Contributions, gifts, grants paid	3,109,731			3,109,731
26 Total expenses and disbursements. Add lines 24 and 25	3,177,897	0		3,109,731	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	868,416				
b Net investment income (if negative, enter -0-)		1,646,313			
c Adjusted net income (if negative, enter -0-)					

Form **990-PF**

**Return of Private Foundation**

**or Section 4947(a)(1) Trust Treated as Private Foundation**

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**2014**

**Open to Public Inspection**

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

Name of foundation HUBERFELD FAMILY FOUNDATION INC		<b>A Employer identification number</b> 13-4042543
Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 15 MANOR LANE		<b>B Telephone number (see instructions)</b> (212) 571-0500
City or town, state or province, country, and ZIP or foreign postal code LAWRENCE, NY 11559		<b>C If exemption application is pending, check here</b> <input type="checkbox"/>
<b>G Check all that apply</b> <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1. Foreign organizations, check here</b> <input type="checkbox"/> <b>2. Foreign organizations meeting the 85% test, check here and attach computation</b> <input type="checkbox"/> <b>E If private foundation status was terminated under section 507(b)(1)(A), check here</b> <input type="checkbox"/> <b>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here</b> <input type="checkbox"/>
<b>H Check type of organization</b> <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
<b>I Fair market value of all assets at end of year (from Part II, col. (c), line 16)</b> \$ 48,384,938	<b>J Accounting method</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule) . . . . .	3,567,500			
	<b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch B . . . . .				
	<b>3</b> Interest on savings and temporary cash investments . . . . .	62,349	62,349		
	<b>4</b> Dividends and interest from securities . . . . .	925,875	925,875		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10 _____	744,005			
	<b>b</b> Gross sales price for all assets on line 6a _____ 744,005				
	<b>7</b> Capital gain net income (from Part IV, line 2) . . . . .		744,005		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances _____				
<b>b</b> Less Cost of goods sold . . . . .					
<b>c</b> Gross profit or (loss) (attach schedule) . . . . .					
<b>11</b> Other income (attach schedule) . . . . .	612,092	612,092			
<b>12 Total.</b> Add lines 1 through 11 . . . . .	5,911,821	2,344,321			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc . . . . .	60,000	18,000		42,000
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule) . . . . .	2,366	0		0
	<b>b</b> Accounting fees (attach schedule) . . . . .	32,403	16,202		0
	<b>c</b> Other professional fees (attach schedule) . . . . .				
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) . . . . .	51,849	1,709		3,987
	<b>19</b> Depreciation (attach schedule) and depletion . . . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule) . . . . .	7,444	747		351
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	154,062	36,658		46,338
	<b>25</b> Contributions, gifts, grants paid . . . . .	1,475,876			1,475,876
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .	1,629,938	36,658		1,522,214	
<b>27</b> Subtract line 26 from line 12 . . . . .					
<b>a Excess of revenue over expenses and disbursements</b> . . . . .	4,281,883				
<b>b Net investment income</b> (if negative, enter -0-) . . . . .		2,307,663			
<b>c Adjusted net income</b> (if negative, enter -0-) . . . . .					

Form **990-PF**

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No 1545-0052  
**2015**  
**Open to Public Inspection**

Department of the Treasury  
 Internal Revenue Service

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 Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**For calendar year 2015, or tax year beginning 01-01-2015, and ending 12-31-2015**

Name of foundation HUBERFELD FAMILY FOUNDATION INC		A Employer identification number 13-4042543	
Number and street (or P O box number if mail is not delivered to street address) Room/suite 15 MANOR LANE		B Telephone number (see instructions) (212) 571-0500	
City or town, state or province, country, and ZIP or foreign postal code LAWRENCE, NY 11559		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 47,782,037		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		Revenue and expenses per (a) books	Net investment (b) income	Adjusted net (c) income	Disbursements for charitable purposes (d) (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule) . . . . .	1,925,025			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	57,400	57,400		
	4 Dividends and interest from securities . . . . .	1,239,636	1,239,636		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	-28,849			
	b Gross sales price for all assets on line 6a _____ 400,000				
	7 Capital gain net income (from Part IV, line 2) . . . . .		0		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____ 95,000				
b Less Cost of goods sold . . . . .					
c Gross profit or (loss) (attach schedule) . . . . .	95,000				
11 Other income (attach schedule) . . . . .					
12 <b>Total.</b> Add lines 1 through 11 . . . . .	3,288,212	1,297,036			
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc . . . . .	120,000	36,000		84,000
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule). . . . .				
	b Accounting fees (attach schedule). . . . .	65,320	21,773		21,773
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . .	36,800	3,115		7,270
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings. . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule). . . . .	4,237	2,337		701
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	226,357	63,225		113,744
	25 Contributions, gifts, grants paid . . . . .	2,899,770			2,899,770
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .	3,126,127	63,225		3,013,514	
27 Subtract line 26 from line 12 . . . . .					
a <b>Excess of revenue over expenses and disbursements</b> . . . . .	162,085				
b <b>Net investment income</b> (if negative, enter -0-) . . . . .		1,233,811			
c <b>Adjusted net income</b> (if negative, enter -0-) . . . . .					

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.  
 Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052  
**2016**  
 Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation HUBERFELD FAMILY FOUNDATION INC		A Employer identification number 13-4042543
Number and street (or P O box number if mail is not delivered to street address) 15 MANOR LANE	Room/suite	B Telephone number (see instructions) (917) 364-2400
City or town, state or province, country, and ZIP or foreign postal code LAWRENCE, NY 11559		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 36,834,494	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	84,758	84,758		
	4 Dividends and interest from securities	2,272,001	2,272,001		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-248,649			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances 160,800				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)	160,800				
11 Other income (attach schedule)	8,905	8,905			
12 Total. Add lines 1 through 11	2,277,815	2,365,664			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	120,000	36,000		84,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	10,213	3,404		3,404
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	134,087	3,162		7,379
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	32,564	29,422		699
	24 Total operating and administrative expenses. Add lines 13 through 23	296,864	71,988		95,482
	25 Contributions, gifts, grants paid	1,069,361			1,069,361
26 Total expenses and disbursements. Add lines 24 and 25	1,366,225	71,988		1,164,843	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	911,590				
b Net investment income (if negative, enter -0-)		2,293,676			
c Adjusted net income (if negative, enter -0-)					

<b>efile Public Visual Render</b>	ObjectID: 201822509349100107 - Submission: 2018-09-07	TIN: 13-4042543
Form <b>990-PF</b> Department of the Treasury Internal Revenue Service	<b>Return of Private Foundation</b> or <b>Section 4947(a)(1) Trust Treated as Private Foundation</b> ▶ Do not enter social security numbers on this form as it may be made public. ▶ Go to <a href="http://www.irs.gov/Form990PF">www.irs.gov/Form990PF</a> for instructions and the latest information.	OMB No. 1545-0052  <b>2017</b> Open to Public Inspection

For calendar year **2017**, or tax year beginning **01-01-2017**, and ending **12-31-2017**

Name of foundation HUBERFELD FAMILY FOUNDATION INC		A Employer identification number 13-4042543
Number and street (or P.O. box number if mail is not delivered to street address) 15 MANOR LANE	Room/suite	B Telephone number (see instructions) (917) 364-2400
City or town, state or province, country, and ZIP or foreign postal code LAWRENCE, NY 11559		C If exemption application is pending, check here
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 37,646,382	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/>				
	3 Interest on savings and temporary cash investments	56,513	56,513		
	4 Dividends and interest from securities	2,254,965	2,254,965		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	702,746			
	b Gross sales price for all assets on line 6a 1,255,000				
	7 Capital gain net income (from Part IV, line 2)		702,746		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other Income (attach schedule)					
12 Total. Add lines 1 through 11	3,014,224	3,014,224			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	100,000	50,000		50,000
	14 Other employee salaries and wages	40,000	20,000		5,000
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	4,402	0		0
	b Accounting fees (attach schedule)	23,592	11,796		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	85,475	5,790		5,790
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	36,366	33,167		1,235
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	289,835	120,753		62,025
25 Contributions, gifts, grants paid	748,050			748,050	
26 Total expenses and disbursements. Add lines 24 and 25	1,037,885	120,753		810,075	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	1,976,339				
b Net investment income (if negative, enter -0-)		2,893,471			
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

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Form 990-PF (2017)